CLINICAL PRACTICE

The role of appropriate diagnostic testing in acute respiratory tract infections: An antibiotic stewardship strategy to minimise diagnostic uncertainty in primary care

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Antibiotic resistance has increased worldwide to the extent that it is now regarded as a global public health crisis. Interventions to reduce excessive antibiotic prescribing to patients can reduce resistance and improve microbiological and clinical outcomes. Therefore, although improving outpatient antibiotic use is crucial, few data are provided on the key interventional components and the effectiveness of antibiotic stewardship in the primary care setting, in South Africa. The reasons driving the excessive prescription of antibiotics in the community are multifactorial but, perhaps most importantly, the overlapping clinical features of viral and bacterial infections dramatically reduce the ability of GPs to distinguish which patients would benefit from an antibiotic or not. As a consequence, the need for tools to reduce diagnostic uncertainty is critical. In this regard, besides clinical algorithms, a consensus of collaborators in European and UK consortia recently provided guidance for the use of C-reactive protein point-of-care testing in outpatients presenting with acute respiratory tract infections (ARTIs) and/or acute cough, if it is not clear after proper clinical assessment whether antibiotics should be prescribed or not. A targeted application of stewardship principles, including diagnostic stewardship as described in this review, to the ambulatory setting has the potential to affect the most common indications for systemic antibiotic use, in that the majority (80%) of antibiotic use occurs in the community, with ARTIs the most common indication.


Antibiotic resistance (ABR) is a critical threat to public health globally that, if unchecked, could result in 10 million deaths per year at a cumulative cost of USD100 trillion by 2050.1 Overuse and misuse of antibiotics has resulted in the emergence of multidrug-resistant (MDR), extensively drug-resistant (XDR) and pan-drug-resistant (PDR) bacteria, which are increasingly common in South Africa (SA).2-3 To alleviate the crisis, antibiotic stewardship (AS) has emerged as a concept that embodies the appropriate use of antibiotics with the goal of optimising patient outcomes while reducing the emergence of resistant bacteria3

The majority (75-80%) of antibiotics for systemic use in adults and children are prescribed in the community, with acute respiratory tract infections (ARTIs) such as bronchitis, pharyngitis and sinusitis the most common indications.4-6 Although most ARTIs are of viral origin and are self-limiting, so non-antibiotic treatment options should be preferred, they still account for most of the antibiotics prescribed in primary healthcare. It is estimated that almost 60% of patients with an ARTI receive an unnecessary antibiotic, which is unlikely to be of benefit.7-1016

The reasons for the excessive prescription of antibiotics in the community are complex and include the lack of a precise diagnosis of the cause of the presenting respiratory illness, perceived patient and parental preference for the receipt of an antibiotic, and a lack of appreciation of the negative impact of unnecessary prescriptions, particularly with regard to the development of resistance in the community. Fear of litigation, both consciously and unconsciously, may also be a factor. Perhaps equally important are constraints on the time available to perform a full clinical assessment and
the considerable diagnostic uncertainty that arises as a consequence of overlapping clinical features between bacterial and viral infections and even non-infectious respiratory illnesses.\[10,11\]

This problem is exacerbated in community practice by the lack of availability of sensitive, specific and cost-effective tests to distinguish viral from bacterial infections. Even the presence of bacteria at the site of a suspected infection does not necessarily identify whether it is bacterial or not, as without evidence of inflammation it probably represents colonisation or contamination.\[12,13\]

This implies that a good clinical history and examination alone may not be sufficient, and the judicious use of biomarkers, such as point-of-care testing (POCT) for C-reactive protein (CRP), may aid in diagnosis and thus reduce antibiotic consumption.\[11\]

The purpose of this review is to provide a simple framework for clinical decision-making regarding antibiotic use and, where applicable, the appropriate use of laboratory tests for common ARTI syndromes in primary practice. This ‘diagnostic stewardship’ should be a key component of AS, providing assistance to clinicians in everyday practice to differentiate bacterial from viral infections or non-infectious conditions and, in so doing, boosting confidence in decision-making. Conceptually, this review should be understood as one strategy within a multimodal primary care AS programme with the ultimate aim of reducing redundant antibiotic use (Fig. 1).\[14\]

Patients presenting with acute cough

Acute cough, defined as lasting <3 weeks (and without more worrying features such as haemoptysis, weight loss or other symptoms of tuberculosis, chest pain or a history of aspiration) is estimated to represent the most common infective cause of acute cough.\[18\] The major differential diagnosis is community-acquired pneumonia (CAP), which does require antibiotics (Fig. 3). However, the presentation is very similar to that of the common cold.\[19\] The latter is usually associated with nasal congestion and rhinorrhoea and typically lasts only 7 - 10 days, but it is important to recognise that bronchitis also seldom occurs in isolation as it frequently results from a ‘sinobronchitis’ occurring as a consequence of a virally induced postnasal drip. The precipitates the initial antibiotic prescription (and possibly even a second one), out of desperation either on the part of the patient or the doctor.\[17\]

The common cold

It should be understood that the clear nasal secretions characteristic of the common cold frequently become purulent without signifying superimposed bacterial infection (Fig. 2). This is a common misperception and hence, even with coughing, which is a normal accompaniment of a postnasal drip, antibiotics are not required.\[19\] Patients should be advised that the average duration of the illness (before and after seeing the doctor) is in the region of 10 - 11 days.\[17\] Obtaining nasal swabs for culture is strongly discouraged even if the cough is persistent, and is particularly wasteful in resource-challenged low- and middle-income countries.

Only symptomatic treatment should be provided, which may include analgesics such as paracetamol (for relief of fever, headache or sinus discomfort). Aspirin should be avoided in children <18 years of age because of the risk of Reye’s syndrome. In those ≥12 years of age, oral or topical decongestants may relieve rhinorrhoea and nasal congestion; however, these should not be used for >5 days as longer durations may lead to rebound congestion known as rhinitis medicamentosa. Early use of a nasal steroid may reduce the duration of the postnasal drip-induced cough.

Acute bronchitis

Clinically, it can be difficult to differentiate acute bronchitis from other conditions that may present with cough.\[18\] The major differential diagnosis is community-acquired pneumonia (CAP), which does require antibiotics (Fig. 3). However, the presentation is very similar to that of the common cold.\[19\] The latter is usually associated with nasal congestion and rhinorrhoea and typically lasts only 7 - 10 days, but it is important to recognise that bronchitis also seldom occurs in isolation as it frequently results from a ‘sinobronchitis’ occurring as a consequence of a virally induced postnasal drip. The

\[\text{Fig. 1. Overview of strategies to minimise antibiotic use in primary care at each stage of the path from healthy person to antibiotic prescription (reproduced with permission from Hansen et al.\[16\].}\]

\[\text{Fig. 2. Natural history of the common cold.}\[16\].\]
presence of discoloured sputum should also not be used as an indicator of bacterial as opposed to viral infection, as both of these, and also non-infectious conditions such as asthma, frequently present with purulent or purulent-looking sputum.\[14\]

In most cases, history and physical examination are sufficient to identify more serious conditions requiring chest radiography (CXR). Only in select circumstances may additional diagnostic testing be required. If it is suspected that an outbreak of bronchitis may be due to Mycoplasma pneumoniae or Chlamydia pneumoniae, especially when presenting in young adults, a specimen for molecular confirmation may be warranted. A swab for Bordetella pertussis polymerase chain reaction (PCR) is warranted in unvaccinated patients with a paroxysmal or ‘whooping’ cough.\[18\]

Data are limited regarding the management of acute bronchitis in children. Most would regard it as an extension of a nonspecific viral URTI and no laboratory testing would be advised. Microscopy, culture and sensitivity (MC&S) on sputum specimens is strongly discouraged, as antibiotics may inappropriately be prescribed for growth of colonisers or normal flora. Perhaps the most important feature of a more severe condition requiring a CXR is tachypnoea.

Antibiotics do not alter the natural course of acute bronchitis and should not be prescribed unless comorbid risk factors are present[14] (Fig. 3). Despite most cases being viral in origin, doctors in the USA continue to prescribe antibiotics to adults with this diagnosis despite clear-cut guideline recommendations to the contrary.[16] In fact, despite significant interventions, including guidelines, quality measures and more than 15 years of educational effort by the Centers for Disease Control and Prevention (CDC), the antibiotic prescribing rates for acute bronchitis, which should be zero, have remained at 71% and even increased between 2006 and 2010.[20]

Management should include advice to the patient as to the benign nature and course of the condition, with the potential for symptom resolution to take up to 3 weeks, together with a nasal steroid and with instructions for the patient to return should symptoms fail to resolve or if pyrexia, pleuritic chest pain or haemoptysis develop. A macrolide antibiotic should be prescribed for confirmed B. pertussis infections and, although it has limited symptomatic effect, it does reduce the risk of transmission.

### Acute pharyngitis/tonsillitis

Respiratory viruses are the most common causes of acute pharyngitis/tonsillitis, while group A β-haemolytic streptococci (GABHS) (Streptococcus pyogenes) is the most important bacterial pathogen; these account for 15% and 40% of adult and paediatric cases, respectively.[21,22] It is important to note that asymptomatic carriage of GABHS occurs in up to 20% of children and in up to 5% of adolescents and young adults.[23] Certain clinical features may assist in distinguishing viruses from GABHS (Fig. 4), and several clinical prediction rules to diagnose GABHS have been published.[24] However, reliance on these rules alone may still lead to antibiotic overprescription.[25] Currently, rapid antigen testing (RADT) methods have not been validated in SA and are therefore not routinely available. In a recent meta-analysis, the accuracy of RADT in children with pharyngitis selected as likely to have GABHS by means of clinical prediction rules, ranged from 24% (95% confidence interval (CI) 21 - 27) to 86% (95% CI 84 - 89).[21] According to the authors, none of 16 RADTs tested had good correlation with the diagnosis of GABHS by culture, particularly as the disease spectrum, the size of the bacterial inoculum and the skill of the operator all affect test performance.[23,24] Molecular methods, including PCR, offer the highest accuracy for GABHS detection (approaching 95 - 100%), but widespread use is limited by limited resources.[25]

CRP POCT does not distinguish between those who do or do not require antibiotic therapy.[27] In addition, CRP values of 10 - 60 mg/L measured in the laboratory may not be able to distinguish between viral and bacterial pathogens in patients with symptoms of <7 days’ duration.\[24\] Although measurement
of the absolute neutrophil count may increase diagnostic accuracy for GABHS when rapid antigen testing is not available, routine use is also not recommended;[29] nor are anti-streptococcal antibody titres, as they reflect past and not current infections.[30] Taking all these factors into account, the diagnostic and antibiotic treatment criteria are summarised in Fig. 4. Correctly performed throat cultures are still considered the gold standard for the diagnosis of acute GABHS pharyngotonsillitis, with a high sensitivity of 90% - 95%. To achieve this, it is recommended that the tonsils and posterior pharyngeal wall be vigorously swabbed.[21]

It is important to note that a delay in antibiotic prescription pending availability of culture results does not reduce efficacy in the prevention of acute rheumatic fever and, as such, a delayed antibiotic prescription strategy is advised for all patients where a throat swab has been sent for MC&S. However, throat swabs for confirmation of GABHS may not be feasible in many SA settings owing to increased direct and indirect financial costs and the need for additional healthcare visits. In such cases, empirical antibiotic therapy is advised for all patients aged 3 - 21 years who fit the clinical prediction rules. Generally speaking, the mainstay of the management of acute pharyngitis is symptomatic and includes adequate analgesia and antipyretics.

**Acute otitis media**

Correct clinical diagnosis of acute otitis media (AOM) is the key to reducing overall antibiotic prescribing for this very common condition. Approximately 75% of children have had at least one episode by 3 years of age.[8] Time and clinical acumen is required to visualise the eardrum. Symptom presentation varies with age and, because typical symptoms overlap with other conditions, a clinical history alone is insufficient to predict whether AOM is present or not. To confirm the diagnosis, inflammation of the eardrum and a middle ear effusion, i.e. fullness, bulging, cloudiness and redness of the tympanic membrane (TM), must be identified (Fig. 5).

Although redness of the TM is an early sign of otitis media, it is not diagnostic on its own as there are numerous other causes, including crying, otitis externa, myringitis and barotrauma.[6] As a consequence, AOM is frequently mis- or overdiagnosed. Although clear visualisation of the TM is difficult at times, and because AOM is typically self-limiting, a high degree of diagnostic certainty is essential to minimise antibiotic overuse. Previous studies have shown that

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**Diagnose all-cause pharyngotonsillitis**

- **When the following are present:**
  - Sore throat
  - Fever
  - Dysphagia
  - Halitosis

**Viral features**
- Coryza
- Cough
- Conjunctivitis
- Hoarseness
- Anterior stomatitis
- Discrete ulcerative lesions
- Diarrhoea

**Bacterial features**
- Tender anterior cervical lymphadenopathy
- Pharyngeal erythema
- Pharyngeal oedema or exudate

**GABHS unlikely**
- Symptomatic treatment only
- No antibiotic prescribed

**GABHS more likely**
- Perform throat swab

- Symptomatic treatment
- Provide delayed antibiotic script

If GABHS grown, contact patient to have antibiotic dispensed

**Fig. 4. Diagnostic and treatment criteria for acute pharyngotonsillitis.**

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**Diagnose acute otitis media**

- **When rapid onset of signs and symptoms of inflammation in the middle ear is present:**

  **Symptoms include:**
  - Otitalgia
  - Irritability
  - Otorrhoea*
  - Fever

  **Signs include:**
  - Bulging +/-
  - Erythema +/-
  - Oedema +/-
  - Immobility of TM on visualisation

**Enhance judicious antibiotic use by categorising:**
- Severity
- Laterality
- Age

**Patients with:**
- More severe symptoms
  - Severe otalgia
  - Otalgia for >48 hours
  - Temperature ≥39°C
  - Bilateral involvement
  - Age ≥2 years

  **Symptomatic treatment**
  - Provide immediate antibiotic script: as such patients are more likely to benefit

**Patients who:**
- Have non-severe disease
- Have unilateral ear involvement
- Age ≥2 years

  **Symptomatic treatment**
  - Provide delayed antibiotic script

  - If follow-up possible, observation
  - Watchful waiting for 48 hours

*Obtaining swabs and/or aspirations of otorrhoea (clear or purulent secretions) from a fresh tympanic perforation that is neither chronic nor recurring, in patients without prior exposure to antibiotics, is not routinely advised.

**Fig. 5. Diagnostic and treatment criteria for acute otitis media.**
with correct diagnosis unnecessary antibiotic use can be reduced by up to 66%. Pneumotoscopy and tympanometry are very useful in determining the presence of a middle ear effusion. Routine tympanocentesis on children with uncomplicated otitis is not feasible because of ethical and resource-related considerations. Swabs and/or aspirations of otorrhea (clear or purulent secretions) that are neither recurring nor chronic, from a fresh tympanic perforation in patients without prior exposure to antibiotics, are also not routinely advised. However, with cases of AOM that closely follow swimming in children with perforations or grommets, an MC&S is very useful in excluding Pseudomonas aeruginosa that might be critical. Of note, CRP is unhelpful in determining whether antibacterial therapy can be withheld.

As AOM is often viral in aetiology (50% of cases) and with most bacterial cases (commonly *S. pneumoniae, Haemophilus influenzae*) resolving spontaneously, treatment of pain is the most critical aspect of the management of AOM. Antibiotics may be deferred for 48 hours in children ≥2 years of age while symptomatic therapy is administered (particularly where good follow-up is possible) if there is unilateral involvement and if the disease is not severe (Fig. 5). Antibiotic prophylaxis in recurrent cases is of no value.

**Acute bacterial rhinosinusitis**

Acute bacterial rhinosinusitis (ABRS) is usually preceded by a viral URTI (Fig. 6). Allergy, trauma, dental infection or other factors that cause inflammation of the nose and paranasal sinuses may also predispose individuals to ABRS. A raised CRP has been suggested as a potential discriminator between coryza and sinusitis, but absolute values have not been validated.

Attempts to establish an aetiological diagnosis of sinusitis are typically reserved for those with complications. Sinus puncture and aspiration are the reference standard for a bacteriological diagnosis of ABRS. As most studies have shown poor correlation between nose and throat cultures and maxillary sinus aspirates, nasal or nasopharyngeal swabs are not recommended; neither is the use of X-rays, which is strongly discouraged.

A recent meta-analysis of treatment outcomes for ABRS has shown marginal benefit of antibiotics over placebo. Overall, the number needed to treat for one adult to benefit is 13 (95% CI 9 - 22). Neither topical nor oral decongestants and/or antihistamines are recommended as adjunctive treatment, whereas both intranasal irrigation with either physiological or hypertonic saline and intranasal corticosteroids are recommended, the latter primarily in patients with allergic rhinitis.

**Influenza**

Influenza epidemics occur every year in SA during winter, typically from April to September, and should be considered in the differential diagnosis during this period. The clinical presentation varies from asymptomatic to severe and life-threatening infections. Typical and atypical presentations are depicted in Fig. 7. The clinical diagnosis of influenza is often difficult and unreliable and, where indicated, laboratory testing should be performed. Situations where this is necessary include where there is a cluster of cases in institutions such as nursing homes, or in severe illness, where neuraminidase inhibitors (such as oseltamivir) are indicated. The latter appears to provide only marginal benefit in the non-hospitalised (in contrast to the hospitalised) patient in the community and is not recommended outside of highly immunocompromised patients or those with severe chronic respiratory, cardiac or neurological conditions. The laboratory test of choice is a respiratory tract PCR on

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**Fig. 6. Diagnostic and treatment criteria for acute bacterial rhinosinusitis.**

**Fig. 7. Diagnostic and treatment criteria for influenza.**
Acute exacerbations of chronic obstructive pulmonary disease

With regard to chronic obstructive pulmonary disease (COPD), there are two situations in which antibiotics may be used. The first is for the treatment of acute exacerbations of COPD (AECOPD), which is the focus of this section. The second is long-term antibiotic use for COPD patients who continue to have recurrent exacerbations despite optimal COPD treatment; description of this is beyond the scope of this article.

For AECOPD, antibiotics are not always required and there is still uncertainty as to which patients would benefit most from antibiotic use. Up to 60% of AECOPD is said to be due to airway infection, but not all of these are bacterial, and viral infections play a significant role. In general terms, however, antibiotic use appears to be of more value in patients with more severe COPD and in those with more severe exacerbations of COPD. Severity of AECOPD is usually classified using the Anthonisen criteria. Type 1 exacerbations, which are considered to be severe, have all three cardinal symptoms: increasing dyspnoea, increasing sputum volume and sputum purulence, while type 2 exacerbations (moderate exacerbations) have two of those three symptoms and type 3 exacerbations have one of the symptoms together with one other symptom such as URTI, fever, wheeze, cough, or increased respiratory or heart rate. The recommendations for routine antibiotic use in non-hospitalised patients with CAP testing are summarised in Fig. 8.

Community-acquired pneumonia

Most definitions of CAP include symptoms of an LRTI (productive cough, pleuritic pain and dyspnoea) and focal chest signs (dullness to percussion, bronchial breathing and crackles), as well as systemic features such as tachypnoea, tachycardia and a temperature of ≥38°C. These features are, however, nonspecific and can be present in other infections, such as acute bronchitis, acute sinusitis and non-infectious conditions, although tachypnoea and tachycardia are uncommon. Symptom and signs are also often less pronounced in elderly patients. If there is doubt as to the diagnosis, POCT of CRP may be helpful and is discussed below and depicted in Fig. 3.

Fig. 8. Recommendations for antibiotic use in non-hospitalised patients with AECOPD.

**Outpatient v. inpatient management: Severity assessment**

In adults, once a clinical diagnosis of CAP has been made the primary care doctor should establish the optimal site for therapy (outpatient or inpatient) using clinical judgement together with a severity-of-illness score such as the CURB-65 or CRB-65. According to Bradley et al., severity assessment for children aged ≥3 - 6 months should also be performed on the grounds of the presence or absence of major and minor risk factors, but this is complex and may require referral.

The role of biomarkers in differentiating types of ARTI

There is increasing evidence of a role for biomarkers, such as CRP and procalcitonin (PCT), in the management of patients presenting with ARTI. In fact, a recent Cochrane review concluded that POCT for biomarkers (e.g. CRP) to guide antibiotic treatment of ARTIs in primary care can reduce antibiotic use and be used as an adjunct to a doctor’s clinical examination; this reduction in antibiotic use did not affect patient-reported outcomes, including recovery from and duration of illness.

PCT is produced primarily by the C cells of the thyroid gland. Markedly raised levels are seen with bacterial infections and only minimal increases are reported with viral infections, which, through the stimulation of interferon-γ, inhibit PCT release. Conditions such as asthma or allergic rhinitis also do not result in PCT release. However, PCT lacks accuracy in less severely ill patients with ARTI seen in primary care and does not perform any better than a POCT CRP measurement in this setting. Because the negative predictive value for exclusion of bacterial infection is enhanced only by serial measurements and by concomitant negative cultures, and because rapid PCT testing on centrifuged venous blood is not readily available, this test is currently unlikely to influence management of RTI in primary care.

In contrast, where there is diagnostic uncertainty, measurement of CRP levels can assist the physician in the management of patients presenting with features of ARTI. Recommendations for the use of CRP are based on reports from two large research groups: the IMPACT and the PC-ART trials.
The clinician who is uncertain as to whether to include antibiotics in ‘here and now’. In SA, increasing levels of MDR, XDR and PDR The consequences of ABR in terms of patient outcomes and may present with CRP values >100 mg/L.[56,57] These cut-offs should be used as a guide, and CRP values should not be utilised in isolation without a clinical examination. Of note, it is also possible that viral infections, including uncomplicated influenza, may present with CRP values >100 mg/L.[56,57] It is also useful to use CRP to identify patients presenting with AECOPD who are most likely to benefit from antibiotics. In one study of AECOPD comparing amoxicillin/clavulanate with placebo, the best CRP cut-off for predicting clinical failure in the placebo arm was 40 mg/L, with an area under the curve of 0.732 (95% CI 0.614 - 0.851).[42] (Fig. 8).

The practical implications and considerations for POCT CRP in the SA setting that warrant closer attention include: • Validation/verification of the POCT CRP assay used (to confirm the performance specifications as specified by the manufacturer) • The cost of acquiring the desktop analyser • Running costs, including calibration, internal and external quality control, and maintenance • Training (initially and continuously) on daily operation • Instrument storage specifications, including temperature and humidity control, etc.

Detailed discussion of these aspects falls outside the scope of this article. It cannot be overemphasised that the results produced by a desktop analyser need to adhere to quality standards. Test results of biomarkers and cultures in order to minimise diagnostic uncertainty. Understanding their patients’ expectations, along with improved communication of ABR principles, education and empowerment, are key to reducing antibiotic use in the outpatient setting.

Without techniques to change behaviour, such as goal setting for GPs to reduce antibiotic prescriptions, provision of feedback to enable self-monitoring and action planning based on guidelines such as these to reduce diagnostic uncertainty, antibiotic prescribing rates will remain stagnant.[58] Furthermore, according to the so-called ‘Pareto Principle’ or ‘Law of the Vital Few’ (derived from economic theory and implying that 80% of outcomes result from only 20% of potential causes), by focusing on a few vital interventions, one can have a significant impact on outcome with less effort.[59] From a community stewardship point of view, it implies that even if the focus is placed on the appropriate diagnosis of ARTIs alone, this would represent a major target, with effect sizes of sufficient magnitude to have the potential to reduce the incidence of ABR bacteria in the community.[60]

Disclaimer. This clinical practice article is not intended as a sole source of guidance in the management of patients with the conditions described. Rather, it is intended to assist clinicians in decision-making. It is not intended to replace clinical judgement or establish a protocol for the care of all patients with the conditions described. These recommendations may not provide the only appropriate approach to the management of patients with such conditions.

Conclusion

The consequences of ABR in terms of patient outcomes and economic impact are not a distant threat, but are being played out ‘here and now’. In SA, increasing levels of MDR, XDR and PDR organisms have been spawned by rampant over-use and incorrect use of antibiotics.[52,54] As such, antibiotics should be seen as a precious resource and the prescribing physician, particularly the GP, the guardian of this resource.

As requires a collaborative effort on the part of policymakers, healthcare providers, healthcare insurance companies and patients. Besides the urgent need for AS governance in primary care, including optimal process and outcome measures, a drastic behavioural change is required by both doctors and patients, with more emphasis on non-antibiotic treatment options, particularly in the outpatient setting. To achieve this, primary care physicians need to assess their patients’ clinical presentation carefully, attempt to follow simple frameworks for clinical decision-making regarding antibiotic use and, where applicable, make appropriate use of POCT testing of biomarkers and cultures in order to minimise diagnostic uncertainty.[56] 19. Irwin RS. Guidelines for treating adults with acute cough. Am Fam Physician 2007;75(4):476-482.


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